

11-30-00

A

S(\$ 10/97)

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No  
5485.01P

Total Pages in this Submission

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 CFR 1.53(b) is a new utility patent application for an invention entitled:

REAL-TIME PRODUCTION TRACKING AND SCHEDULING SYSTEM

and invented by:

David L. Caulfield

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

## Enclosed are Application Elements:

- ☒ Filing Fee
- ☒ Specification having 16 page(s) and including the following:
- ☒ Title of the Invention
  - ☐ Cross References to Related Applications (if applicable) (Shown in the Preliminary Amendment)
  - ☒ Background of the Invention
  - ☒ Brief Summary of the Invention
  - ☒ Description of the Drawings
  - ☒ Detailed Description
  - ☒ Claim(s) as Classified Below
  - ☒ Abstract of the Disclosure
- ☒ 5 Sheets of Drawings(s) (37 CFR 113) ☐ Formal ☒ Informal
- ☒ Oath or Declaration ☒ Executed ☐ Unexecuted
- ☐ Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- ☒ Power of Attorney ☒ Executed ☐ Unexecuted
- ☐ Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- ☐ Incorporation By Reference — The entire disclosure of the prior application from which a copy of the oath or declaration is supplied under the above entry, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ Computer Program in Microfiche (Appendix)

## Accompanying Application Parts

- ☒ Assignment Papers (cover sheets & documents(s))
- ☐ The prior application is assigned of record to \_\_\_\_\_
- ☐ Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- ☐ 37 CFR 3.73(B) Statement (when there is an assignee)

- ☐ English Translation Document (if applicable)  
☐ Information Disclosure Statement/PTO-1449 ☐ Copies of \_ IDS Cited Reference(s) Docket No. 5485.01P  
☐ Preliminary Amendment  
☒ Acknowledgment postcard  
☐ Verified Statement(s) to Establish Small Entity Status Under 37 CFR 1.9 and 1.27  
☐ Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional application only)  
☒ Certificate of Mailing by Express Mail  
☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)  
☐ Associate Power of Attorney

### Fee Calculation and Transmittal

\* The filing fee is calculated on the basis of the claims existing in the prior application as amended by the accompanying preliminary amendment noted above.

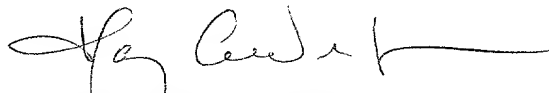
| CLAIMS AS FILED   |        |          |        |           |           |
|---|--------|----------|--------|-----------|-----------|
| For   | #Filed | #Allowed | #Extra | Rate      | Fee       |
| Total Claims  | 22     | - 20 =   | 02     | X \$18.00 | \$ 36.00  |
| Independent Claims  | 05     | - 3 =    | 02     | X \$80.00 | \$ 160.00 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/>          |        |          |        |           | \$ 0.00   |
| BASIC FEE   |        |          |        |           | \$ 710.00 |
| OTHER FEE (specify purpose)   |        |          |        |           | \$ 0.00   |
| (Applicant has small entity status under 37 CFR 1.9 and 1.27) SMALL ENTITY STATUS |        |          |        |           | Yes       |
| TOTAL FILING FEE  |        |          |        |           | \$ 493.00 |

- and Assignment recordation
- ☒ A check in the amount of \$ 493.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and/or credit Deposit Account Number 23-0835 as described below.
- ☐ Charge the amount of \$ \_\_\_\_\_ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 CFR 1.16 and 1.17.

Please direct all future correspondence to the undersigned at the address given below.

**4 VENTURE, SUITE 300**  
**IRVINE, CA 92618**  
 phone: 949/450-1750  
 fax: 949/450-1764

Respectfully Submitted,

  
**HARRY G. WEISSENBERGER**  
 Attorney for Applicants  
 Reg. No: 18,784

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )  
David L. Caulfield )  
Serial No.: Unknown (New) )  
Filed Herewith )  
For: REAL-TIME PRODUCTION )  
TRACKING AND SCHEDULING )  
SYSTEM )

Express Mail Label No.: EK746559736US

Date of Deposit: 29 NOVEMBER 2000

I hereby certify that the above-identified document is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, BOX NEW APP Washington, D.C. 20231

Respectfully submitted,

Law Offices of  
Harry G. Weissenberger, Esq.

By Louise C. Marro  
4 Venture, Suite 300  
Irvine, CA 92618-7384  
Tel: 949/450-1750  
Fax: 949/450-1764